

th, at 10:00 a.m. CT., via

Teams/ conference call.

Committee Chair called the meeting to order at 10:00 a.m. CT.

SBHE Audit Committee members participating:

Mr. Nick Hacker (Chair)

Mr. Jeffry Volk

Mr. Tim Mihalick

NDUS staff participating:

Chancellor Hagerott

Ms. Terry Meyer

Mr. Dustin Walcher

Mr. David Krebsbach

Ms. Kristie Hetzler

Ms. Karol Riedman

Mr. Jerry Rostad

Ms. Robin Putnam

Ms. Mindy Sturn

Mr. Darin King

Ms. Jaimie Wilke

Ms. Claire Gunwall

Volk moved, Mihalick seconded, to enter Executive Session to consider (1) the State Auditor's draft operational audit reports for Valley City State University, Lake Region State College, and Mayville State University, for the biennium ending June 30, 2021, (2) any response from the institutions/entities to the draft report and associated recommendations. The executive session shall be limited to members of the Committee, the Chancellor and staff, Board counsel, representatives of the State Auditor's office, and invited campus officials.

The legal authority for closing this portion of the meeting is North Dakota Century Code sections 44-04-19.2 and 54-10-26.

Mihalick, Volk, and Hacker voted yes.

Present during entire executive session from 10:10 a.m. – 11:20 a.m.

SBHE Audit Committee members participating:

Mr. Nick Hacker
Mr. Tim Mihalick
Mr. Jeffry Volk

Others participating:

Chancellor Hagerott, NDUS
Ms. Dina Cashman, NDUS
Mr. David Krebsbach, NDUS
Ms. Kristie Hetzler, NDUS
Mr. Jerry Rostad, NDUS
Ms. Robin Putnam, NDUS
Ms. Terry Meyer, NDUS
Ms. Robyn Hoffman, SAO
Mr. Alex Mehring, SAO

Present during LRSC executive session beginning at 10:10 – 10:30 a.m. CT.:

President Darling
Ms. Joanne Kitchen

Present during VCSU executive session beginning at 10:30 – 10:45 a.m. CT.:

President LaFave
Ms. Erica Buchholz

Present during M aSU executive session beginning at 10:45 – 11:20 a.m. CT.:

President Van Horn
Mr. Steve Benson

Executive session adjourned at 11:20 a.m. CT.

Volk, Mihalick, and Hacker voted yes.

Open Session roll call 11:25 a.m. CT:

Committee Chair Hacker
Mr. Mihalick
Mr. Volk

biennium ending June 30, 2021, and (2) any response from the institutions/entities to the draft report and associated recommendations.

Volk, Mihalick, and Hacker voted yes.

3. Management Report 2022 Q4

Ms. Mindy Sturn reviewed the [Q4 2022 Management Report](#), since the last report there was twelve new findings added. There have been eighteen closed findings from the last reporting period and currently overall we have twenty-four open audit findings. The next report is the prior not implemented and overall, we have five open prior not implemented findings. She explained the prior not implemented findings that have been completed do remain on the report for one additional committee meeting to inform them that they have been completed and closed.

4. Update on NDUS Compliance Charter

Mr. Chris Pieske reviewed the proposed amendments to the [compliance charter](#); the attached document is a first draft based on previous discussions, including input from Ms. Karol Riedman.

The committee discussed each of the current amendments; Mr. Pieske will revise the current version of the Compliance Charter based on today's discussion and bring it back to the committee for further review.

The committee also discussed the current vacant position, the timeline to fill the position, the hiring process/authority, and if the position or the charter should be completed first. Chair

Hacker stated that if compliance reported to the Chancellor, investigations and/or reports could have more constructive discussions between an institution president, the Chancellor and the compliance officer who's located in the system office versus bringing it the SBHE Audit

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